

Tuesday, 15 November 2022

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AUDIT AND GOVERNANCE COMMITTEE

You are summoned to a meeting of the Audit and Governance Committee which will be held in the Council Chamber, Woodgreen, Witney OX28 1NB on **Wednesday, 23 November 2022 at 6.00 pm.**



Giles Hughes
Chief Executive

To: Members of the Audit and Governance Committee

Councillors: Alaric Smith (Chair), Ruth Smith (Vice-Chair), Luci Ashbourne, Andrew Beaney, Jill Bull, Nathalie Chapple, Owen Collins, Julian Cooper, Colin Dingwall, Jane Doughty, Gill Hill, David Jackson, Richard Langridge, Norman MacRae MBE, Michele Mead, Elizabeth Poskitt and Andrew Prosser

Recording of Proceedings – The law allows the public proceedings of Council, Cabinet, and Committee Meetings to be recorded, which includes filming as well as audio-recording. Photography is also permitted. By participating in this meeting, you are consenting to be filmed.

As a matter of courtesy, if you intend to record any part of the proceedings please let the Democratic Services officers know prior to the start of the meeting.

AGENDA

1. **Minutes of Previous Meeting (Pages 5 - 8)**
To approve the minutes of the meeting held on 26 October 2022.
2. **Apologies for Absence**
To receive any apologies for absence.
3. **Declarations of Interest**
To receive any declarations from Members of the Committee on any items to be considered at the meeting.
4. **Participation of the Public**
To receive any submissions from members of the public, in accordance with the Council's Rules of Procedure. Anyone who lives in the district or who pays council tax or business rates to the Council is eligible to read a statement or express an opinion at this meeting. You can register to speak by sending your written submission of no more than 750 words to democratic.services@westoxon.gov.uk by no later than 10.00am on the working day before the meeting.
5. **Counter Fraud and Anti-Corruption Policy (Pages 9 - 28)**
Purpose:
To present the Audit and Governance Committee an updated Counter Fraud and Anti-Corruption Policy for comment.
The Policy has been reviewed to ensure the content reflects current legislation and the Council's Policies and Procedures. The Policy will replace the existing Counter Fraud and Anti-Corruption Policy.

Recommendation:
That the Committee considers the Counter Fraud and Anti-Corruption Policy to comment thereon to Cabinet, to aid its deliberations and decision making.
6. **Counter Fraud and Enforcement Unit Fraud Risk Strategy (Pages 29 - 52)**
Purpose:
To present the Audit and Governance Committee with a Fraud Risk Strategy, so that they may consider the approach taken by the Counter Fraud Partnership.
To provide assurance to the Audit and Governance Committee that the risks of fraud committed against the Council are recognised, managed and mitigated for in accordance with Council priorities, and changing fraud trends.

Recommendation:
That the Committee considers the Fraud Risk Strategy and associated work streams
7. **Counter Fraud and Enforcement Unit Update Report (Pages 53 - 58)**
Purpose:
To provide the Committee with assurance over the counter fraud activities of the Council. Direct updates will continue to be provided biannually.

Recommendation:
That the Committee considers the report and work plan at Annex A.

8. **Internal Audit Progress Update (Pages 59 - 80)**
Purpose:
Committee to receive an update on the Internal Audit Progress
- Recommendation:
Committee to note the Internal Audit Progress update.
9. **S106 delivery timelines**
Purpose:
The Assistant Director of SWAP Internal Audit Services will provide a verbal update following the discussion at the previous meeting about the time taken from S106 agreements being reached, to the delivery of community projects funded by S106.
- Recommendation:
Committee to note the verbal update.
10. **Corporate Risk Register Update (Pages 81 - 90)**
Purpose:
This report brings to members the current version of the Council's Strategic Risk Register for information.
- Recommendation:
That the Strategic Risk Register be noted.
11. **Grant Thornton Update (Pages 91 - 138)**
Purpose:
To receive an update report from Grant Thornton.
- Recommendation:
To note the report.
12. **Audit and Governance Committee Work Programme 2022/23 (Pages 139 - 142)**
Purpose:
Committee to note the Work Programme 2022/23.
- Recommendation:
Committee to note and update where necessary the Work Programme 2022/23.

(END)